

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ponte et al. Confirmation No.: 1957  
Serial No.: 10/7356,609 Art Unit: 2166  
Filed: December 12, 2003 Examiner: S. Ahn  
Title: Compressed Document Surrogates

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97, and 1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

Under 37 C.F.R. § 1.98(d), no copy of any patent, publication, pending U.S. application or other information listed in this information disclosure statement is required to be provided, as the information was previously submitted to, or cited by, the Office in earlier Application No. 09/365,326, filed July 30, 1999. Further, according to the Official Gazette, October 12, 2004, the Office hereby waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 07-2347 and please credit any excess fees to such deposit account.

Respectfully submitted,

Date: November 29, 2006

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FORM PTO-1149  
REV. (7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO. 99-811Conl  
SERIAL NO. 10/735,609LIST OF DOCUMENTS CITED BY APPLICANT  
(Use several sheets if necessary)APPLICANT(S): Ponte et al.  
FILING DATE 12/12/2003  
GROUP 2166

## U.S. PATENT DOCUMENTS

| * EXAMINER INITIAL | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
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## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|--|-----------------|------|---------|-------|----------|-------------|
|  |                 |      |         |       |          | YES NO      |
|  |                 |      |         |       |          |             |

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|  | Aaronow, D.B. et al., Automated Classification of Encounter Notes in a Computer Based Medical Record. Proc. of 8th World Congress on Med. Informatics, Vancouver, Canada (Jul. 1995), pp. 8-12.                       |

EXAMINER DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.